

CLIENT SERVICE AGREEMENT

You, Sam and Sarah Sample, ("Client") hereby engage Price Planning, LLC ("Adviser"), and Adviser agrees to provide financial planning and investment advisory services to you, upon the terms and subject to the conditions contained in this Agreement.

Services to be Provided. Adviser will provide consultations addressing the specific issue or issues you have requested as indicated below. Adviser will provide you with a detailed financial analysis and recommendations to guide you toward the achievement of your objectives. Adviser will limit its analysis to the specific areas indicated below. You understand that information regarding specific issues not revealed to or analyzed by Adviser may have a direct impact on the suitability or accuracy of specific recommendations given.

Adviser has been requested to provide the following specific services:

Cash Flow and Retirement Planning: Not applicable at this time _____

Investment Advice: Review asset allocation in retirement and investment accounts; make recommendations as appropriate (5-7 hours) _____

Tax Preparation & Planning: Incorporate tax planning when addressing cash flow & retirement planning and investment advice _____

Insurance Needs Analysis: Not applicable at this time _____

Education Funding & Estate Planning: Not applicable at this time _____

Other: Not applicable at this time _____

In addition to the specific services requested pursuant to this Agreement, Adviser may provide you with financial planning and investment advisory services in the future upon specific request from you. The scope of such services will be documented at the time such services are requested. Unless a new Agreement is signed, such additional services will be subject to the provisions of this Agreement, including the provisions relating to payment of fees and the limitations on Adviser's duties and liabilities.

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Adviser acts as a fiduciary with respect to all investment advice provided to clients. Further, Adviser complies with and will adhere to the Department of Labor Fiduciary Rule's Impartial Conduct Standards requiring that (1) advice is in the best interest of the client; (2) investment advisory fees are no more than reasonable compensation; and (3) statements about investment recommendations are not misleading. Finally, Adviser will document the reasons why investment advice given to a client regarding all accounts is in the best interest of the client.

Fees. Adviser's fees for financial planning and investment advisory services, including future services, will be based primarily on the amount of time expended on your behalf and on the billing rate for each consultant devoting time to this matter. The billing rate for Debbie Price, J.D., CPA, CFP® is currently \$330 per hour and is subject to change upon written notice to you.

The estimated fees for the specific services requested by you (as noted above) range from a minimum of \$1,650 to a maximum of \$2,310. Should you wish to change the scope of these initial services, either before the presentation of recommendations or in the future, a revised fee range will be provided to you.

Actual fees for initial services provided will be due and payable to Adviser immediately upon presentation of recommendations to you. Adviser will invoice you for the amount of fees for any future services performed.

Client Representations. You represent to Adviser the following and understand and agree that Adviser is relying on these representations as an inducement to enter into this Agreement:

- You agree that you will provide Adviser with the necessary information to provide the agreed upon services.
- You understand that the responsibility for financial decisions is yours and that you are under no obligation to follow, either wholly or in part, any recommendation or suggestion provided by Adviser.
- You understand that Adviser obtains information from a wide variety of publicly available sources and cannot guarantee the accuracy of the information or success of the advice which it may provide. The information and recommendations developed by Adviser are based on the professional judgment of Adviser and the information you provide to Adviser.
- You understand and agree that due to the limited nature of this engagement, Adviser is under no obligation to contact you to recommend changes to your financial plan or any of the recommendations and advice provided under this Agreement in the future.

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- You understand that all investments involve risks and that some investment decisions will result in losses. You understand that Adviser cannot guarantee that your investment objectives will be achieved.

Multiple Clients. In the event Client is more than one individual, Adviser is authorized to accept the direction of either party and such direction will be binding on all parties.

Registration. Adviser represents it is registered as an investment adviser with the State of Ohio.

Confidential Relationship. All information and advice furnished by either of the parties to the other will be treated as confidential and will not be disclosed to third parties except as required by law or with the consent of the other party. You acknowledge receipt of Adviser's Privacy Policy Notice.

Non-Exclusive Relationship. You recognize and acknowledge that Adviser performs financial planning and investment advisory services for other clients. You agree that Adviser may give advice and take action with respect to its other clients that may differ from advice given, or the timing or nature of action taken, with respect to you.

Agreement Not Assignable. This Agreement will inure to the benefit of the parties and their respective successors and assigns; provided that Adviser may not assign this Agreement without your prior written consent.

Termination. This Agreement may be terminated by either party at any time upon written notice. If this Agreement is terminated by either party all fees due at time of termination will be due and payable by you immediately. Adviser will immediately refund any unearned, prepaid fees.

Liability. Adviser will be liable only for losses caused by negligent management or actual wrongdoing and Adviser shall have no responsibility for the acts of agents (other than regular employees) provided it uses reasonable care in selecting them, except when the state or federal securities laws otherwise impose liabilities. Adviser does not warrant or guarantee any particular result. You acknowledge that there can be no assurance that a particular recommendation will achieve the desired results. Nothing herein shall in any way constitute a waiver or limitation of any rights which you may have under applicable state or federal securities laws.

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Notices. Unless otherwise notified in writing, any written notice, advice or report to be given pursuant to this Agreement to Advisor shall be delivered or mailed to debbie@priceplanning.com or Price Planning, LLC, 1450 Carriage Road, Powell, Ohio 43065-9706. Unless otherwise notified in writing, any written notice, advice or report to be given pursuant to this Agreement to you shall be delivered or mailed to sample@yahoo.com or Sam or Sarah Sample, 12345 Main Street, Columbus, Ohio 43215. Such notice shall become effective upon receipt of such notice by the party to whom it is given.

Disclosure Statement. You acknowledge electronic receipt of a completed copy of Adviser's Form ADV Part 2A and 2B disclosure, as required by Ohio Administrative Code 1301:6-3-15.1(G), no later than the date of execution of this Agreement.

Entire Agreement. This Agreement constitutes the entire agreement of the parties with respect to the subject matter of this Agreement and may only be amended in writing by the parties.

Governing Law. This Agreement shall be governed by, and be construed and interpreted in accordance with, the laws of the State of Ohio applicable to agreements executed, delivered and performed within such state, other than the laws governing conflicts of laws.

ACCEPTED this ____ of _____, 2023 Client _____
 (day) (month) (year)

Client _____

Debbie Price, Managing Member
Price Planning, LLC